



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the PATENT APPLICATION of:

Joseph A. Kwak

Application No.: 09/939,410

Our File: I-2-0203US

Confirmation No.: 4309

Date: May 17, 2004

Filed: August 24, 2001

For: PHYSICAL LAYER AUTOMATIC  
REPEAT REQUEST (ARQ)

RECEIVED

Group: 2662

MAY 21 2004

Examiner: Tsegaye, Saba

Technology Center 2600

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
INCLUDING RULE 1.97(e)(2) STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Further to Applicant's Duty of Disclosure pursuant to 37 C.F.R. §1.56, Applicant wishes to bring to the Examiner's attention the material cited on the enclosed Form PTO- 1449, which was cited in an office action in related U.S. Application No. 10/085,187 (attorney docket no. I-2-0203.4US). A copy of the cited reference is enclosed.

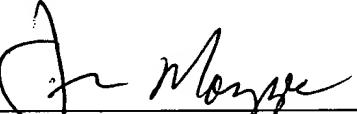
Pursuant to 37 C.F.R. §1.97(e)(2), no item of information contained in this Supplemental Information Disclosure Statement (SIDS) was cited in any communication from a foreign patent office in a counterpart foreign application, nor, to the knowledge of the undersigned after making reasonable inquiry, known to any individual designated in §1.56(c) more than three months prior to the filing of this SIDS.

**Applicant:** Joseph A. Kwak  
**Application No.:** 09/939,410

It is respectfully requested that the Examiner consider this document and return an initialed copy of the Form PTO-1449 indicating consideration of the cited material.

Respectfully submitted,

Joseph A. Kwak

By 

Frank A. Mazzeo  
Registration No. 46,259  
(215) 568-6400

Volpe and Koenig, P.C.  
United Plaza, Suite 1600  
30 South 17<sup>th</sup> Street  
Philadelphia, PA 19103

FAM/sag  
Enclosures



5-28-04

# 18

PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/939,410
		Filing Date	August 24, 2001
		First Named Inventor	Joseph A. Kwak
		Art Unit	2662
		Examiner Name	Tsegaye, Saba
Total Number of Pages in This Submission		Attorney Docket Number	I-2-0203US

ENCLOSURES (Check all that apply)			
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)	
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Form PTO-1449 and Copy of One (1) Cited Reference	
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement	<input type="checkbox"/> Request for Refund		
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53			

RECEIVED

MAY 21 2004

Technology Center 2600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Frank A. Mazzeo	Reg. No. 46,259
Signature		
Date	5/17/04	

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Frank A. Mazzeo
Signature	
	Date 5/17/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.